

10/521903

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DT01 Rec'd PCT/PTO 14 JAN 2005

In re application of: Tour, et al.

Serial No.: TO BE ASSIGNED

Filing Date: January 14, 2005

Art Unit: Unknown

Title: *Sidewall Functionalization of Nanotubes with Hydroxyl-Terminated Moieties*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the referenced cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

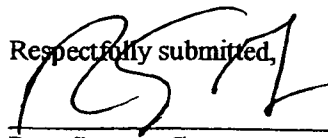
Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

Applicant does not believe that any fees are due; however, however, if any other fees are required, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P054WOUS)

Dated:

1/14/05

Respectfully submitted,



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

This Information Disclosure Statement and PTO/SB/08B are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and they are being deposited with the USPS, as "Express Mail – Post Office to Addressee", mailing label number EL812640538US, with sufficient postage on this 14<sup>th</sup> day of January 2005.

J. E. Dunn

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

<b>Application Number</b>	TO BE ASSIGNED 0/521903
<b>Filing Date</b>	January 14, 2005
<b>First Named Inventor</b>	Tour, et al.
<b>Art Unit</b>	Unknown
<b>Examiner Name</b>	Unknown
<b>Attorney Docket Number</b>	11321-P054WOUS

Sheet

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of

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Sun, et al., "Soluble Dendron-Functionalized Carbon Nanotubes: Preparation, Characterization, and Properties", 13 Chem. Mater. (2001), pp. 2864-69	
		Chiu, et al., "Interconnection of Carbon nanotubes By Chemical Functionalization", 80(20) Appl. Phys. Lett. (2002), pp. 3811-13	
		Tanaka, et al., "Solvent-Free Organic Synthesis", 100 Chem. Rev. (2000), pp. 1025-1074	
		Bahr, et al., "Covalent chemistry of single-wall carbon tubes", 12 J. Mater. Chem. (2002), pp. 1952-58	

<b>Examiner Signature</b>	/Serena Hanor/	<b>Date Considered</b>	12/10/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

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